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From: Adam Rosendorff

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Dear CLIA

Please refer to the following QC policies.

Thanks,

Adam

Quality Control Rules Effectiv	n: A		
	e Date: 1/15/2014		
Quality Control Policies			

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theranos	SOP	Document Number: CL-QOP- 00020 Revision: A
	Quality Control Rules	Effective Date: 1/15/2014
Quality Control Policies		

#### 1. DAILY QC

- 1.1 Run at least two and preferably 3 controls
- 1.2 f any of the controls are out of the range stated in the manufacturer's package insert (usually the mean of the manufacturer's runs +/-2SD), repeat the QC for the controls.
- 1.3 Ensure the QC material is not beyond the manufacturer's expiration date.
- 1.4 If any of the 3 controls are still out of the range stated in the manufacturer's package insert, redo calibration for the analyte.
- 1.5 Repeat QC
- 1.6 If QC passes, proceed with patient testing
- 1.7 If QC fails again, consult technical support for the analyzer in question

#### 2. CONTINUOUS QC

- 2.1 Ongoing QC should be monitored for any of the following failures:
- 2.2 13: If one control is greater than +/- 2SDs is used as a warning rule that initiates testing of control data by the other control rules
- 2.3 \$\frac{1}{33}\$: QC "Flyers": One control exceeding +/-3SD results in QC failure for that control: such failures are usually the result of random error
- 2.4 2.3 Two (2) consecutive control observations exceeding +/-2SD results in QC failure for that control observation: such failures may indicate systematic error
- 2.5 Ras One observation exceeding the mean +2SD and another exceeding the mean -2SD is a rejection rule. Observations can come from the same QC run with different control levels. SUcha failure usually indicates random error.
- 2.6 438 Four Consecutive observations on the same side of the 1SD line
- 2.7  $10_x$  Ten consecutive observations on the same side of the mean line.
- 2.8 For a detailed description of the application of Westgard rules, refer to Tietz. Et. al. (4<sup>th</sup> Edition), pgs 504-506

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### 3. EDISON QC

- 3.1 ESTABLISHING QC RANGES
- 3.1.1 Assay all control levels as you would patient samples (3 EDISONS per RUN) over 10 days
- 3.1.2 Calculate the mean for each level
- 3.1.3 Calculate the SD for each level
- 3.1.4 Acceptable low QC range is the mean 2SD
- 3.1.5 Acceptable high QC range is the mean + 2SD
- 3.2 EDISON DAILY QC
- 3.2.1 Run at least 2 and preferably levels. Ensure QC material is not outdated/expired.
- 3.2.2 If all pass run patient samples
- 3.2.3 If QC fails (defined as a failure of one or more levels) repeat QC
- 3.2.4 If QC still fails recalibrate instruments
- 3.2.5 If QC passes run patient specimens
- 3.2.6 If QC still fails→ STOP and consult with Theranos technical support.